

## **Oppenheimer Fast Facts**



### **Global Headquarters**

85 Broad Street New York, NY



CEO

Albert G. Lowenthal



#### Mission

Provide financial services and advice to individuals, businesses and institutions



#### **Worldwide Employees**

≈ 2,990 Employees
 ≈ 1,100 Financial Advisors
 92 Retail Branch Offices
 5 Foreign Jurisdictions



### **Integrated, Tailored Financial Strategies**

Oppenheimer & Co. Inc. ("Oppenheimer") is a leading investment bank and full-service wealth-management Firm that provides financial services and advice to high-net-worth investors, individuals, businesses and institutions. For over 130 years, we have provided investors with the necessary expertise and insight to meet the challenge of achieving their financial goals. Our commitment to our clients' investment needs, our experienced and dedicated professionals, and our proud tradition empower us to deliver effective and innovative strategies to our clients.

Oppenheimer's goal is for its clients to understand their financial positions, have confidence in their investment decisions and feel optimistic about their futures.

## **Business Principles**

- » Client Focus
- » Tailored Advice
- » Open Architecture
- » Proven Expertise
- » Trusted Reputation
- » Flexibility at Scale
- » Heritage and Continuity
- » Entrepreneurial Mindset

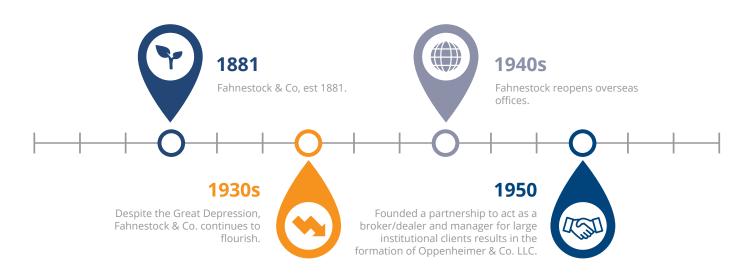
### **Experienced Financial Advisors**

Oppenheimer Financial Advisors draw upon the expertise of product professionals in investments, planning, retirement, insurance and executive services to develop and execute an investment strategy suited to follow each client's unique objectives. Clients have access to a broad array of traditional and specialized products and services to help meet their financial needs. Clients may benefit from Oppenheimer's balanced research, investment banking capabilities and order execution capabilities in all major markets.

# A PROUD HISTORY

Dating back to 1881, Oppenheimer and its predecessors have been financial services pioneers.

Today, our people's dedication and creativity make us uniquely qualified to provide clients with the guidance they demand.





### 1960s & 70s

By 1968, Fahnestock & Co. has branches in 31 U.S. communities and 10 foreign countries.



### 1990s

A thriving Fahnestock Viner Holdings Inc. is listed on the NYSE. In 1997, the Canadian Imperial Bank of Commerce (CIBC) buys Oppenheimer & Co. Inc. It merges with Wood Gundy to create CIBC World Markets Corp.

### 1980s

In 1982, Oppenheimer & Co. LLC and its three operating subsidiaries are sold to Mercantile House. Four years later, the management of the Oppenheimer Group purchases a majority interest of their firms back from Mercantile.

In 1988, Fahnestock & Co. is acquired by E.A. Viner Holdings Limited. This acquisition creates Fahnestock Viner Holdings Inc. whose principal subsidiary is the broker-dealer Fahnestock & Co. Inc.



### **2000s**

Fahnestock acquires segments of Oppenheimer Private Client Division and the U.S. Oppenheimer Asset Management Division of CIBC World Markets Corp. and changes its name to Oppenheimer. In January 2008, Oppenheimer acquires much of CIBC World Markets' capital markets business, expands into the Southeastern U.S. and grows its capabilities in research, investment banking and fixed income.



## Continued Growth

Our carefully orchestrated growth strategy enabled us to increase professional staff in our already-influential equity research department, develop important conferences and grow the investment bank into a global presence. Our goal is to increase our offerings and service for our clients while making our second century of operations even stronger than our first.