When the Time is Right, Your Wealth Management Partner is Ready

At certain key moments in a client's life, the guidance of a trusted financial advisor can make a meaningful difference. Oppenheimer's wealth management professionals specialize in helping clients navigate life's transitions, offering tailored strategies to address their unique financial needs.

While some of these opportunities may be readily apparent, others might not be immediately obvious. Below are examples of pivotal events when clients can benefit from Oppenheimer's expertise:

- Birth of a child
- Loss of a loved one
- Divorce or separation
- Selling of a home or other major asset
- Establishing a trust
- Managing unexpected gains or losses
- Assessing insurance needs
- Planning for Retirement
- Adjusting or rebalancing portfolio

How You Can Facilitate a Wealth Management Conversation:

When the timing feels appropriate, consider these steps to introduce your client to Oppenheimer's services:

Start the Conversation: Discuss the potential benefits of connecting with an Oppenheimer financial advisor.

Offer a Financial Plan: Suggest the opportunity for your client to receive a complimentary financial plan, tailored to their goals.

Collaborate with Oppenheimer: Consult with your Oppenheimer advisor to explore how they can best support your client during these important moments.

Make an Introduction: Arrange a meeting or lunch between your client and your Oppenheimer advisor to establish the relationship in a comfortable setting.

Stay Engaged: Follow up with your client during key life events to provide ongoing support and ensure their financial needs are met.

By identifying and addressing these critical moments, you can strengthen your relationships with clients and demonstrate the value of Oppenheimer's wealth management services in their lives.

Disclosure

Disclosure Statement: Under the SEC's New Marketing Rule, all PAG Solicitors are required to present the disclosure statement to prospective clients at the time of introduction. Once the disclosure statement has been given to the prospective client the PAG Solicitor will notify Oppenheimer via email of the name of the person given the disclosure statement and the date it was given to them.

This presentation is intended for informational purposes only and does not involve the rendering of personalized investment, financial, legal, or tax advice. It provides only general summary information about the Professionals Alliance Group Program and does not purport to be complete. All information provided and opinions expressed are subject to change without notice. No part of this presentation may be reproduced in any manner without written permission of OAM. Neither Oppenheimer nor OAM provide legal or tax advice.

Certain information may be provided by third-party sources and, although believed to be reliable, it has not been independently verified and its accuracy or completeness cannot be guaranteed. Different types of investments involve varying degrees of risk, and there can be no assurance that any specific investment, investment strategy, or product, or any non-investment related content, made reference to directly or indirectly herein, will prove successful.

The Professionals Alliance Group (PAG) is a division of Oppenheimer Asset Management Inc. ("OAM"), a registered investment adviser. OAM and Oppenheimer & Co. Inc. ("Oppenheimer") are both indirect wholly owned subsidiaries of Oppenheimer Holdings Inc. Securities are offered by Oppenheimer, a registered investment advisor and broker dealer. 7493445.1