

Fast Facts

OPY

NYSE Stock Symbol

140

Years of Experience

2,913

Experienced Professionals

996

Financial Professionals

93

Branch Offices

38

Research Analysts

\$122.1B

Assets Under Administration

As of December 31, 2021

Integrated, Tailored Financial Strategies

Oppenheimer & Co. Inc. ("Oppenheimer") is a leading investment bank and full-service wealth-management Firm that provides financial services and advice to high-net-worth investors, individuals, businesses and institutions. For over 140 years, we have provided investors with the necessary expertise and insight to meet the challenge of achieving their financial goals. Our commitment to our clients' investment needs, our experienced and dedicated professionals, and our proud tradition empower us to deliver effective and innovative strategies to our clients.

Oppenheimer's goal is for its clients to understand their financial positions, have confidence in their investment decisions and feel optimistic about their futures.

Business Principles

- » Client Focus
- » Tailored Advice
- » Open Architecture
- » Proven Expertise
- » Trusted Reputation
- » Flexibility at Scale
- » Heritage and Continuity
- » Entrepreneurial Mindset

Experienced Financial Professionals

Oppenheimer Financial Professionals draw upon the expertise of product specialists in investments, planning, retirement, insurance and executive services to develop and execute an investment strategy suited to follow each client's unique objectives. Clients have access to a broad array of traditional and specialized products and services to help meet their financial needs. Clients may benefit from Oppenheimer's balanced research, investment banking capabilities and order execution capabilities in all major markets.

A Proud History

Oppenheimer & Co. Inc.'s Origins in the 1800's

Oppenheimer traces its roots back to its original predecessor firm, Fahnestock & Co. Inc., which was established in 1881.

Surviving Downturns and Expanding the Firm in the 1900's

Despite the Great Depression, Fahnestock & Co. Inc. continued to flourish, opened three offices, and acquired another firm by 1937. In the 1940s Fahnestock & Co. Inc. expanded overseas into post-war Europe and established new branches in South America. During the severe bear market of the 1970s, the Firm stood its ground and avoided being acquired or liquidated, unlike many other brokerages. The 1990s saw mergers occur with Fahnestock, the Canadian Imperial Bank of Commerce, Oppenheimer & Co., Inc., Wood Gundy and the CIBC World Markets Corp.

Growth Continues in the 2000s

The Firm took on its current name: Oppenheimer & Co. Inc. (Oppenheimer) in the early 2000s. During the Great Recession, Oppenheimer acquired much of CIBC World Markets' capital markets business, expanded into the Southeastern U.S. and grew its capabilities in research, investment banking, and fixed income.

Making our Second Century Stronger than our First

Oppenheimer continues to evolve as we look to the future. The Firm focuses a significant amount of investment in its own technology, people, and culture with the goal of increasing our offerings and services to make our second century of operations even stronger than our first.

LET'S TALK FUTURE™
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