How to Become a PAG Partner

If your firm is interested in referring clients to an Oppenheimer financial advisor through the Professionals Alliance Group (PAG), here's how to get started.

The partnership process

Five steps

1

Request a confidential survey

Contact PAG for the domestic or offshore survey and instructions for submitting it. PAG uses the completed survey to begin its initial review and background checks.

2

Meet with the PAG team

If your firm clears the initial review, PAG will schedule a call to learn about your business, discuss potential referrals, and explain how the program works.

3

Provide any required documentation

Before signing an agreement, provide a copy of your Form ADV if state registration is required and proof of any required licenses.

4

Sign the agreement

After PAG completes its review and confirms that applicable requirements are met, you'll receive a partnership agreement to sign. PAG will return a fully signed copy for your records.

5

Prepare for your first referral

Contact PAG when your first referred client is ready to open an account. At the time of referral, give the prospective client a signed disclosure statement and confirm delivery by email with PAG. PAG must receive that confirmation and the signed client acknowledgment before a referral fee can be paid for the account.

Get started

Reach the Professionals Alliance Group team directly.

pag@opco.com →

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